§ 3–603(b) of this title, a stockholder may not demand the fair value of his stock and is bound by the terms of the transaction if:

(1) The stock is listed on a national securities exchange:

(ii) With respect to any other transaction, on the record date for determining stockholders entitled to vote on the transaction objected to; [or]

(2) The stock is that of the successor in a merger, unless:

(ii) The stock is to be changed or converted in whole or in part in the merger into something other than either stock in the successor or cash, scrip, or other rights or interests arising out of provisions for the treatment of fractional shares of stock in the successor[.]; OR

DRAFTER'S NOTE:

Error: In § 3–202(c) of the Corporations and Associations Article, extraneous conjunction in paragraph (1)(ii) and erroneous punctuation and omitted conjunction in paragraph (2)(ii).

Occurred: Ch. 363, Acts of 1985.

7–206.

(c) (2) The Department may not process a filing under this section until the information required by this subsection and § 3–117 of this article [are] IS provided.

DRAFTER'S NOTE:

Error: Grammatical error in § 7–206(c)(2) of the Corporations and Associations Article.

Occurred: Ch. 402, Acts of 1989.

11–302.

(a) It is unlawful for any person who receives, directly or indirectly, any consideration from another person for advising the other person as to the value of securities or their purchase or sale, whether through the issuance of analyses, reports, or otherwise to:

(4) When acting as principal for his own account knowingly sell any security to or purchase any security from a client, or when acting in [a] AN agency capacity for a person other than such client knowingly effect any sale or purchase of any security for the account of such client, without disclosing to such client in writing before the completion of such transaction the capacity in which he is acting and obtaining the consent of the client to such transaction.