

(I) THE ACTIVITIES OF THE OFFICER, DIRECTOR, OR EMPLOYEE ARE EXECUTIVE, ADMINISTRATIVE, MANAGERIAL, CLERICAL, OR A COMBINATION OF THESE, AND ARE ONLY INDIRECTLY RELATED TO THE SALE, SOLICITATION, OR NEGOTIATION OF INSURANCE;

(II) THE FUNCTION OF THE OFFICER, DIRECTOR, OR EMPLOYEE RELATES TO UNDERWRITING, LOSS CONTROL, INSPECTION, OR THE PROCESSING, ADJUSTING, INVESTIGATING, OR SETTLING OF A CLAIM ON A CONTRACT OF INSURANCE; OR

(III) THE OFFICER, DIRECTOR, OR EMPLOYEE IS ACTING IN THE CAPACITY OF A SPECIAL AGENT OR AGENCY SUPERVISOR ASSISTING INSURANCE PRODUCERS WHERE THE INDIVIDUAL'S ACTIVITIES ARE LIMITED TO PROVIDING TECHNICAL ADVICE AND ASSISTANCE TO LICENSED INSURANCE PRODUCERS AND DO NOT INCLUDE THE SALE, SOLICITATION, OR NEGOTIATION OF INSURANCE;

(3) AN INDIVIDUAL WHO PERFORMS ADMINISTRATIVE SERVICES RELATED TO MASS MARKETED PROPERTY AND CASUALTY INSURANCE, PROVIDED THAT NO COMMISSION IS PAID TO THE INDIVIDUAL FOR THE SERVICES;

(4) AN EMPLOYER, ASSOCIATION, THE OFFICERS, DIRECTORS, AND EMPLOYEES OF AN EMPLOYER OR ASSOCIATION, OR THE TRUSTEES OF AN EMPLOYEE TRUST PLAN IF:

(I) THE EMPLOYER, ASSOCIATION, OFFICERS, DIRECTORS, AND EMPLOYEES, OR TRUSTEES ARE ENGAGED IN THE ADMINISTRATION OR OPERATION OF A PROGRAM OF EMPLOYEE BENEFITS FOR THE EMPLOYER'S OR ASSOCIATION'S OWN EMPLOYEES OR THE EMPLOYEES OF ITS SUBSIDIARIES OR AFFILIATES;

(II) THE PROGRAM INVOLVES THE USE OF INSURANCE ISSUED BY AN INSURER; AND

(III) THE EMPLOYER, ASSOCIATION, OFFICERS, DIRECTORS, AND EMPLOYEES, OR TRUSTEES ARE NOT IN ANY MANNER COMPENSATED, DIRECTLY OR INDIRECTLY, BY THE INSURER ISSUING THE CONTRACTS;

(5) AN EMPLOYEE OF AN INSURER OR ORGANIZATION EMPLOYED BY AN INSURER WHO IS:

(I) ENGAGED IN THE INSPECTION, RATING, OR CLASSIFICATION OF RISKS OR IN THE SUPERVISION OF THE TRAINING OF INSURANCE PRODUCERS; AND

(II) NOT INDIVIDUALLY ENGAGED IN THE SALE, SOLICITATION, OR NEGOTIATION OF INSURANCE;

(6) A PERSON WHOSE ACTIVITIES IN THE STATE ARE LIMITED TO ADVERTISING WITHOUT THE INTENT TO SOLICIT INSURANCE IN THE STATE THROUGH COMMUNICATIONS IN PRINTED PUBLICATIONS OR OTHER FORMS OF ELECTRONIC MASS MEDIA IF: