

1. A CURRENTLY EFFECTIVE ORDER OF THE SECURITIES AND EXCHANGE COMMISSION, OR THE SECURITIES ADMINISTRATOR OF A STATE, THAT DENIES REGISTRATION TO OR SUSPENDS OR REVOKES THE REGISTRATION OF THE PERSON AS A SECURITIES BROKER, SECURITIES DEALER, OR INVESTMENT ADVISOR;

2. A CURRENTLY EFFECTIVE ORDER OF A NATIONAL SECURITIES ASSOCIATION OR NATIONAL SECURITIES EXCHANGE, AS DEFINED IN THE SECURITIES AND EXCHANGE ACT OF 1934, THAT SUSPENDS OR EXPELS THE PERSON FROM MEMBERSHIP IN THE ASSOCIATION OR EXCHANGE;

3. A CURRENTLY EFFECTIVE ORDER OF THE FEDERAL TRADE COMMISSION; OR

4. A CURRENTLY EFFECTIVE ORDER THAT ENJOINS OR RESTRICTS BUSINESS ACTIVITY AS A RESULT OF AN ACTION BROUGHT BY A PUBLIC AGENCY, INCLUDING AN ACTION THAT AFFECTS A LICENSE AS A REAL ESTATE BROKER, ASSOCIATE REAL ESTATE BROKER, OR REAL ESTATE SALESPERSON; AND

(II) IF SO, THE DATE, NATURE, AND ISSUER OF THE ORDER AND ANY PENALTY IMPOSED;

(10) THE LENGTH OF TIME THE FRANCHISOR HAS:

(I) DONE BUSINESS OF THE TYPE TO BE OPERATED BY A FRANCHISEE;

(II) GRANTED FRANCHISES FOR THAT BUSINESS; AND

(III) GRANTED FRANCHISES IN OTHER LINES OF BUSINESS;

(11) A RECENT FINANCIAL STATEMENT OF THE FRANCHISOR AND A STATEMENT OF EACH MATERIAL CHANGE IN THE FINANCIAL CONDITION OF THE FRANCHISOR SINCE THE FINANCIAL STATEMENT WAS MADE;

(12) A COPY OF THE TYPICAL FRANCHISE AGREEMENT USED OR PROPOSED FOR USE IN THE STATE;

(13) THE FRANCHISE FEE OR, IF THE FRANCHISE FEE IS NOT THE SAME IN EACH CASE, THE FORMULA THAT THE FRANCHISOR USES TO SET THE AMOUNT OF THE FRANCHISE FEE AND THE WAY THE FRANCHISOR WILL APPLY THE FRANCHISE FEE;

(14) ANY PAYMENT OTHER THAN A FRANCHISE FEE THAT THE FRANCHISEE OR SUBFRANCHISOR MUST PAY TO THE FRANCHISOR, INCLUDING ANY ROYALTY OR PAYMENT THAT THE FRANCHISOR COLLECTS WHOLLY OR PARTLY FOR A THIRD PARTY;